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PATENT APPLICATION
Docket No. 13768.454

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Keith Ballinger, et al.

Serial No.:

10/645,375

Confirmation No.:

7425

Filing Date:

August 20, 2003

For:

CUSTOM SECURITY TOKENS

Customer No.:

022913

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REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the official Filing Receipt received from the United States Patent and Trademark Office in the above-identified application for which issuance of a corrected filing receipt is respectfully requested. There is an error in the "Applicant(s) portion of the Filing Receipt. Attached at Exhibits A and B is the following:

- 1) Exhibit A – Copy of the Declaration and Power of Attorney; and
- 2) Exhibit B – copy of Assignment.

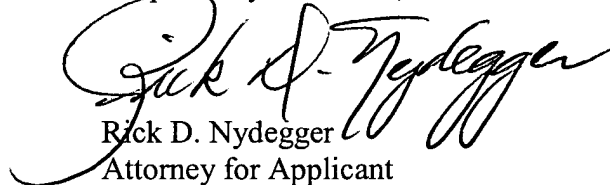
The Filing Receipt in a correct fashion should read as follows (correction markings to show correction requested):

Keith Ballinger, North Bend, WA;
HongMei Ge, Issaquah, WA
Hervey Wilson; Bellvue, WA
Vick Mukherjee, Redmond, WA.

The correction is due to no error by applicant and thus no fee is required.

Dated this 16th day of December, 2003.

Respectfully submitted,



Rick D. Nydegger
Attorney for Applicant
Registration No. 28,651

RKN:kcs

W:\13768\426\KCS0000000505V001.doc



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
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 Alexandria, Virginia 22313-1450
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/645,375	08/20/2003	2855	1032	13768.454	7	31	4

CONFIRMATION NO. 7425

RICK D. NYDEGGER
 WORKMAN NYDEGGER
 1000 Eagle Gate Tower
 60 East South Temple
 Salt Lake City, UT 84111

FILING RECEIPT



OC000000011283756

Date Mailed: 11/17/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Keith Ballinger, North Bend, WA;

DOCKETED
 Atty SR File # 11-20-03
 By SR On 11-20-03
 Action SR
 Response Due SR

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 11/15/2003

Projected Publication Date: 02/24/2005

Non-Publication Request: No

Early Publication Request: No

Title

Custom security tokens

Preliminary Class

073

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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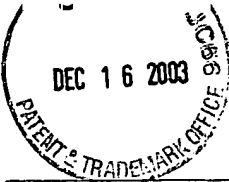
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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Keith Ballinger, et al.

Docket No.

13768.454

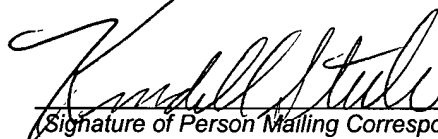
Serial No.
10/645,375Filing Date
August 20, 2003Confirmation No.
7425Examiner
UnassignedGroup Art Unit
2855

Invention: CUSTOM SECURITY TOKENS

I hereby certify that the Request for Corrected Filing Receipt (2 pgs), Copy of Official Filing Receipt and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 16, 2003.

Kendell Steele

(Typed or Printed Name of Person Mailing
Correspondence)



(Signature of Person Mailing Correspondence)

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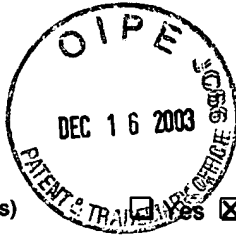
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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Keith Ballinger
H ngMei Ge
Hervey Oliver Wilson
Vick Bhaskar Mukherjee

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Microsoft Corporation

Internal Address: _____

Street Address: One Microsoft WayCity: Redmond State: WA ZIP: 98052Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: August 19, 2003 & August 20, 2003

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: August 20, 2003

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Nam : RICK D. NYDEGGER

Internal Address: _____

Street Address: Workman Nydegger1000 Eagle Gate Tower, 60 East South TempleCity: Salt Lake City State: UT ZIP: 84111

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

23-3178

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael J. Frodsham

Name of Person Signing

Signature

August 20, 2003

Date

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Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventorship..... Keith Ballinger, et al.
Applicant..... Microsoft Corporation
Attorney's Docket No. 13768.454
MS Docket No. 305758.01
Title: CUSTOM SECURITY TOKENS

PATENT ASSIGNMENT

PARTIES TO THE ASSIGNMENT

Assignor(s):

Keith Ballinger
16608 423rd Place SE
North Bend, Washington 98045

HongMei Ge
1784 12th Avenue, NE
Issaquah, Washington 98029

Hervey Oliver Wilson
3314 142nd Place NE
Bellevue, Washington 98007

Vick Bhaskar Mukherjee
17756 NE 90th Street #M-365
Redmond, Washington 98052

Assignee:

Microsoft Corporation
Corporation in the State of Washington
One Microsoft Way
Redmond, WA 98052

AGREEMENT

WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of an invention entitled "CUSTOM SECURITY TOKENS", as described and claimed in the specification forming part of an application for United States letters patent referenced above;

WHEREAS, Microsoft Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Washington having a place of business at One Microsoft Way, Redmond, WA 98052, is desirous of acquiring the entire right, title and interest in and to the invention and in

Atto. Docket No. 13768.454
MS Docket No. 305758.01

and to any letters patent that may be granted therefore in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sell, assign and transfer unto ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR(S) had this assignment, transfer and sale not been made. ASSIGNOR(S) hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNOR(S) agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefore.

Am. Docket No. 13768.454
MS Docket No. 305758.01

8/19/03
Date


Keith Ballinger

Jorney Docket No. 13768.454
MS Docket N . 305758.01

08/19/ 3
Date

HongMei Ge
HongMei Ge

Atty Docket No. 13768.454
MS Docket No. 305798.01

8/28/2003
Date

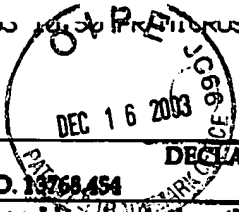
Harvey Oliver Wilson
Harvey Oliver Wilson

Atto  Docket No. 13768.454
MS Docket No. 305758.01

8/19/2003

Date


Vick Bhaskar Mukherjee



PATENT APPLICATION
DECLARATION AND POWER OF ATTORNEY

ATTORNEY DOCKET NO. 13758.454 **MS DOCKET NO. 305758.01**

As a below named inventor, I hereby declare that:
My residence/post office address and citizenship are as stated below next to my name;
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **CUSTOM SECURITY TOKENS**
the specification of which is filed herewith unless the following box is checked:

☐ was filed on _____ as US Application Serial No. or PCT International Application
Number _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

Foreign Application(s) and/or Claim of Foreign Priority

I hereby claim foreign priority benefits under Title 35, United States Code Section 119 of any foreign application(s) for patent or inventor(s) certificate listed below and have also identified below any foreign application for patent or inventor(s) certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE FILED	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES: _____ NO: _____
			YES: _____ NO: _____

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) listed below and those associated with

Customer No. **022913**

to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

David Bartley Eppenauer Reg. No. 35499	Martin L. Shively Reg. No. 33533	Ronald O. Zink Reg. No. 35744	Patricia E. Bornes Reg. No. 37036
Stacy Quan Reg. No. 33760	Jeffrey L. Ranck Reg. No. 38590	Michael W. Bocianowski Reg. No. 28692	John Weresh Reg. No. 32322

Send Correspondence to: RICK D. NYDEGGER WORKMAN NYDEGGER 1000 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111	Direct Telephone Calls To: RICK D. NYDEGGER Telephone: (801) 533-9800 Facsimile: (801) 328-1707
---	---

DECLARATION AND POWER OF ATTORNEY

ATTORNEY DOCKET NO. 13768.454

MS DOCKET NO. 305758.01

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: Keith Ballinger

Citizenship: United States

Residence: 16608 429th Place SE
North Bend, Washington 98045

Post Office Address:



Inventor's Signature

8/19/03

Date

DECLARATION AND POWER OF ATTORNEY

ATTORNEY DOCKET NO. 13768.454

MS DOCKET NO. 305758.01

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: HongMei Ge

Citizenship: P.R. China

Residence: 1784 12th Avenue, NE
Issaquah, Washington 98029

Post Office Address:

Inventor's Signature

Date

08/19/03

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ATTORNEY DOCKET NO. 13768.454

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application for any patent issued thereon.

Full Name of Inventor: Hervey Oliver Wilson

Citizenship: United Kingdom

Residence: 3314 142nd Place NE
Bellevue, Washington 98007

Post Office Address:

Inventor's Signature

Date

8/20/2003

DECLARATION AND POWER OF ATTORNEY

ATTORNEY DOCKET NO. 13768.454

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Full Name of Inventor: Vick Bhaskar Mukherjee

Citizenship: United States

Residence: 17756 NE 90th Street #M-365
Redmond, Washington 98052

Post Office Address:

V. Bh Mukherjee

Inventor's Signature

8/19/2003

Date